



AAA-002B

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William K. Slate II et al.  
Application No.: 09/990,402 Confirmation No.: 3669  
Filed : November 21, 2001  
For : ELECTRONIC SYSTEMS AND METHODS FOR  
DISPUTE MANAGEMENT  
Group Art Unit : 2161  
Examiner : Not yet assigned

Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

New York, New York 10020  
June 3, 2002

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of  
Nonprovisional Application mailed January 7, 2002,  
applicants hereby submit the following:

1. A copy of the January 7, 2002 Notice to File  
Missing Parts of Nonprovisional Application;
2. A Declaration and Power of Attorney executed  
by William K. Slate II, John C. Emmert, Jr.,  
Richard W. Naimark, Francesco Rossi, Debi  
Miller Moore, Gerald Strathmann, Cynthia  
Rumney, Joseph Williams, Interna Khutorsky,  
and Oggy Doytchinov;

3. A Declaration and Power of Attorney executed by Douglas W. Crisman;
4. A Declaration and Power of Attorney executed by Robert S. Zylowski;
5. A Declaration and Power of Attorney executed by Charles B. Kreitzberg;
6. A Declaration and Power of Attorney executed by Jodie Green; and
7. Two (2) checks in the amounts of:
  - (i) \$65.00 in payment of the surcharge; and
  - (ii) \$460.00 in payment of the extension fee for a response within the third month.

Applicants hereby claim small entity status under 37 C.F.R. § 1.27.

Please authorize the Director of the U.S. Patent and Trademark Office to credit any overpayment or charge any deficiencies in connection with this Response to Deposit Account No. 06-1075. A duplicate copy of this form is enclosed herewith.

Respectfully submitted,

  
Philip R. Poh  
Registration No. 51,176  
Agent for Applicants  
FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020  
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PATENTS  
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New York, New York 10020  
June 3, 2002

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EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV079962095US

Date of Deposit: June 3, 2002

I hereby certify that this certification and the following papers and fees:

1. A copy of the January 7, 2002 Notice to File Missing Parts of Nonprovisional Application;
2. A Declaration and Power of Attorney executed by William K. Slate II, John C. Emmert, Jr., Richard W. Naimark, Francesco Rossi, Debi Miller Moore, Gerald Strathmann, Cynthia Rumney, Joseph Williams, Interna Khutorsky, and Oggy Doytchinov;
3. A Declaration and Power of Attorney executed by Douglas W. Crisman;
4. A Declaration and Power of Attorney executed by Robert S. Zylowski;
5. A Declaration and Power of Attorney executed by Charles B. Kreitzberg;
6. A Declaration and Power of Attorney executed by Jodie Green; and

7. Two (2) checks in the amounts of:
  - (i) \$65.00 in payment of the surcharge; and
  - (ii) \$460.00 in payment of the extension fee for a response within the third month.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Box Missing Parts, Commissioner for Patents, P.O. Box 2327, Arlington, Virginia 22202.

Lillian Garcia  
Name: Lillian Garcia



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,402	11/21/2001	William K. Slate II	AAA-003

1473  
 FISH & NEAVE  
 1251 AVENUE OF THE AMERICAS  
 50TH FLOOR  
 NEW YORK, NY 10020-1105

**CONFIRMATION NO. 3669**  
**FORMALITIES LETTER**



\*OC000000007271137\*

Date Mailed: 01/07/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*William K. Slate II, John C. Emmert JR., Richard W Naimark, Francisco Rossi, Debi Miller Moore, Gerald Strathmann, Cynthia Rumney, Joseph Williams, Interna Khutorsky, Oggy Doytchinov, Douglas W. Crisman, Robert S. Zvlowski, Charles B. Kreitzburg, Jodi Green*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

*ZA*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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